

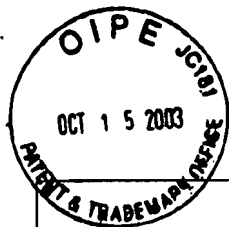
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.: 36287-04401	SERIAL NO.: 10/614,137
	APPLICANTS: FRIEDMAN, et al.	
	FILING DATE: July 7, 2003	GROUP ART UNIT: TBA

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/MA/	6,567,790 B1	5/20/03	Shane			12/1/99

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)

EXAMINER	/Mohamed Ali/		DATE CONSIDERED 08/04/2007



/MA/		Merrill Lynch & Co.; \$250,000,000 Affiliated Managers Group, Inc. Floating Rate Convertible Senior Debentures due 2033; Offering Memorandum; 2/19/03
↓		Hoadley, Peter; Options Strategy Analysis Tools; http://www.hoadley.net/options/hs.htm
↓		DerivativesStrategy; Delta Hedging Problems and Solutions; http://www.derivativesstrategy.com/magazine/archive/1998/0398coll.asp
/MA/		Hauser, James L., Journal of Compensation and Benefits; The Stock Option Repricing Dilemma; November/December 2001
EXAMINER /Mohamed Ali/		DATE CONSIDERED 08/04/2007